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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application of: Mark J. Smith
Title: SYSTEMS AND METHODS FOR WEALTH MANAGEMENT
Attorney Docket No.: 1117.001US1

J1046 U.S. PTO
10/037430
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PATENT APPLICATION TRANSMITTAL

BOX PATENT APPLICATION

Commissioner for Patents
Washington, D.C. 20231

We are transmitting herewith the following attached items and information (as indicated with an "X"):

- X Return postcard.
X CONTINUATION of prior Patent Application No. 00/16,804 (under 37 CFR § 1.53(b)) comprising:
X Specification (41 pgs, including claims numbered 1 through 25 and a 1 page Abstract).
X Formal Drawing(s) (7 sheets).
X Signed Combined Declaration and Power of Attorney (3 pgs).
X Incorporation by Reference: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied herewith, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
X Check in the amount of \$564.00 to pay the filing fee.
Preliminary Amendment (1 pgs).
Applicant claims small entity status under 37 C.F.R 1.27.

The filing fee has been calculated below as follows:

Table with 5 columns: Item, No. Filed, No. Extra, Rate, Fee. Rows include TOTAL CLAIMS, INDEPENDENT CLAIMS, [X] MULTIPLE DEPENDENT CLAIMS PRESENTED, BASIC FEE, and TOTAL.

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